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Securities regulation in the United States - Wikipedia

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Securities regulation in the United States is the field of U.S. law that covers transactions and other dealings with securities. The term is usually understood to include both federal- and state-level regulation by purely governmental regulatory agencies, but sometimes may also encompass listing requirements of exchanges like the New York Stock Exchange and rules of self-regulatory ...

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Marc I. Steinberg, Rupert and Lillian Radford Professor of Law at the Southern Methodist University (SMU) Dedman School of Law. He is the former Director of SMU's Corporate Directors' Institute, the Director of the SMU Corporate Counsel Externship Program, the former Senior Associate Dean for Academics, and the former Senior Associate Dean for Research at the Law School.

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Roberta Romano is Sterling Professor of Law at Yale Law School and Director of the Yale Law School Center for the Study of Corporate Law. Her research has focused on state competition for corporate charters, the political economy of takeover regulation, shareholder litigation, institutional investor activism in corporate governance and the regulation of securities markets and

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International Securities Law and Regulation - Second Edition

The Law of Cross-Border Securities Transactions (Hans van Houtte ed., Sweet & Maxwell, 1999)(ISBN 0-421-65380-9). 318p. Marc I. Steinberg, International Securities Law: A Contemporary and Comparative Analysis (The Hague: Kluwer Law International, 1999)(International Banking, Finance and Economic Law; v.11)(ISBN 9041197389). 340p.

Marc I. Steinberg

Overview of International Securities Regulation by Aulana L. Peterst I have been asked to set the stage for the other participants in this symposium by providing you with an overview of securities regulation in the global market. The topic I will address is as vast as the world's securities